PATENT

(Practitioner's Docket No. IN-5530)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of									
Bernhard LETTMANN									
US Serial No. Herewith	Group Art Unit: Not Assigned								
Filed: October 30, 2001	Examiner: Not Assigned								
For: AQUESOUS COATING MATERIAL AND MODULAR SYSTEM FOR PRODUCING SAME									
Commissioner for Patents Washington, D.C. 20231									
INFORMATION DISC Dear Sir:	CLOSURE STATEMENT								
Applicant(s) submit(s) herewith patents, put aware that they believe may be material to the example there may be a duty to disclose in accordance with									
X Submitted herewith is Form PTO-1449 (M information for consideration by the Office	odified) listing patents, publications, or other								
COPIES PROVIDED:									
Legible copies of all items listed on Form I except as indicated below:	PTO-1449 accompany this information statement,								
No. [], filed on [].	cited by the Office on this prior application and are therefore not required to be provided in this								
	opy of only [] is being submitted with this mulative of the following patents or publications listed								
FOREIGN LANGUAGE DOCUMENTS									
There are no foreign language documents	listed on Form PTO-1449.								

Information Disclosure Statement Page 2

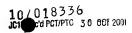
Submitted herewith is an English translation of the following foreign language patents, publications or information or of protions of those patents, publications or information considered to be material: English Abstracts for: WO 94/22968; DE3321180; DE 3832142; EP 158 094; DE3739332; EP0614951; DE4301991; DE19709465; DE 44 21 823 A1

No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

DE 3706 095 5.079.312 English for 5,635,564 English for DE 38 41 540 CA 2,107,351 English for DE 411 0 520 4,046,729 English for DE 2624 442 ~ 5,726,258 English for DE19524 182 5,601,878 English for DE4222 194 4,895,910 English for DE3807 571 DE2848 906 4.246.382 English for 5,690569 English for DE 197 09 476 5,028,639 English for DE 36 36 183 4,322,325 English for DE2926 584 5,326,820 English for DF4024 204 4.914.148 English for DE 354 618 5,210,154 English for DE 3813 866 4,322,325 English for DE 2926 584 4.005,961 English for DE 4005 961 4.851,460 English for DE 36 28 124 A1 5,516,559 English for DE 42 04 518 A1 5.965,213 English for DE 196 13 547: 5.601.878 English for DE 42 22 194 A1: 4.489.135 English for DE 32 10 051 A1: 4,851,460 English for DE 36 28 124 A1: 6.159.556 English for DF19518 392 5.965,213 English for DE19613 547 EP 0 540 884 A1: 5,486,384 English for EP 0 568 967 A2; 5,425,970 English for EP 0 522 419 A1: 5,691,425 English for 5,71,678 English for WO 94/22969: EP 0 355 433 A2 5,307,910 English for 6,001,424 English for EP 0 730 613 B1 4,730,020 English for EP 0 195 931 A1 5.017.673 English for EP 0422 357 FP 301 300 ~ 5,667,847 English for CA 2079498 English for FP 0 540 884 A1 CA 2,102,169 English for EP 0 522 420 A2: CA 2,073,115 English for FP 0 596 460 A2: EP 0 554 783 A1 5,576,386 English for 5,691,419 and 5,686,531 English for WO 92/22615; 4,558,090 and 4,489,135 English for EP 0 089 497 A2; Information Disclosure Statement Page 3

	Somitime therewise is an English language version of a search report issuing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
	A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
set for	A concise explanation of the relevance of the following listed non-English language information is thin the above-identified specification at the following pages and line numbers:
CERTI	FICATION:
х	The Information Disclosure Statement transmitted herewith is being filed within three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the malling date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
	The Information Disclosure Statement transmitted herewith is being filed after three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
	Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patient office in a counterpart foreign application not more than three (3) months prior to the filling of the statement, 37 C.F.R. §1.97(e)(1); OR
	That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a countepart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2), OR
	Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the \$230.0 IDS submission fee to BASF Corporation Deposit Account No. 23-3425. A duplicate of this paper is enclosed.
	The Information Disclosure Statement transmitted herewith is being filed After a Final Action under 37 C.F.R. §1.113, or After a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first, but Before Payment of the Issue Fee. Accordingly, I hereby certify the
	Each statement of information contained in the Information Disclosure Statement was



		reign patent office in a counterpart foreign application or to the filing of the statement; 37 C.F.R. §1.97(e)(1);
Information Dis	in a communication from a foreign my knowledge as the person signir	ed in the Information Disclosure Statement was cited patent office in a counterpart foreign application or, to glub scertification after making reasonable inquiry, lated in §1.56(c) more than three (3) months prior to R. §1.97(e)(2); AND
	C.F.R. §1.97(d)(2)(ii). Please char	ideration of this Information Disclosure Statement; 37 ge the petition fee of \$130.00 to BASF Corporation duplicate of this paper is enclosed.
	ommissioner is authorized to charge fees due hereunder.	BASF Corporation Deposit Account No. 23-3425 for
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	K)	espectfully submitted,
	Ba	Muli Municipal Reg No. 42,906 ASF Corporation
	Se	6701 Telegraph Road outhfield, Michigan 48034-2442
Tel. No.: (248)) 948-2019	

US DEPARTMENT OF C FORM PTO-1449 (MODIFIED)

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

OFFICE

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Atty. Docket No. IN-5530

In re Application of : LETTMANN

Serial No.: Herewith

Filed: October 30, 2001

	DOCUMENT NUMBER		TENT DOCUMENTS	12	T		
							G DATE ROPRIAT
			Rehfuss et al.	427	407.1	5/11	1/94
		10/18/94	Rehfuss et al.	427	4307.1	10/2	23/92
	4,268,542	5/19/81	Sakakibara et al	. 427	195	1/25	79
	4,675,234	6/23/87	Sachs et al.	428	328	5/17	7/85
AE	4,558,090	12/10/85	Drexler et al.	524	591	10/2	2/84
AF	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18	3/83
AG	4,710,542	12/1/87	Forgione et al.	525	127	5/16	6/86
AH	4,444,954	04/24/84	Mels et al.	525	124	9/30	/82
Al	5,079,312	1/7/92	Isozaki et al.	525	479	5/1/9	90
AJ	4,223,357	9/16/80	Vano	358	264	10/2	3/78
AK	4,005,961	2/1/77	Manceau	425	190	8/26	/75
		FOREIGN	PATENT DOCUMENTS				
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AL	0 594 071 A1 -	15.10.93	EP	C09D	201/02	X	1
AM	0 594 142 A1 _	20.10.93	EP	C08L	57/12	X	
AN	0 604 9 2 2 A1 、	23.12.93	EP	C08K	5/3492	х	
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EXAMINER

/Nathan Nutter/ (12/15/2010)

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449 US DEPARTMENT OF O PATENT AND TRADEMAN OF

OF CLERCE Atty. Docket No. IN-5530

LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary) In re Application of : LETTMANN

Serial No.: Herewith

Filed: October 30, 2001

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/NN/	AA	5,210,154	5/11/154	Weidemeier et al.	525	438	4/18	
	AB	4,322,325	3/30/82	Esser et al.	523	457	6/30	/80
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	AD	4,914,148	4/3/90	Hille et al.	524	507	10/1	1/86
	AE	5,326,820	7/5/94	Hoffmann et al.	525	123	7/24	/91
	AF	5,028,639	7/2/91	Treutlein et al.	523	200	10/3	1/90
	AG	5,690,569	11/25/97	Ledvina et al.	474	111	3/13	/96
	AH	4,730,020	3/8/88	Wilfinger et al.	524	555	2/27	/86
	Al	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18	/87
	AJ	6,001,424	12/14/99	Lettmann et al.	427	407.1	11/1	8/94
/NN/	AK	5,370,910	12/6/94	Hille et al.	427	407.1	7/24	/89
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FORM PTO-1449 US DEPARTMENT OF CONTROL (MODIFIED) US DEPARTMENT OF CONTROL PATENT AND TRADEMAN OFFICE

Atty. Docket No. IN-5558 C'd PCT/PTO 3 0 OCI 2001

LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

In re Application of : LETTMANN

Serial No.: Herewith

Filed: October 30, 2001

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/NN/	AA	5,516,559	5/14/96	Röckrath er al.	427	407.1	2/3/93	
	AB	4,046,729	9/6/77	Scriven et al.	260	29.2TN	6/2/75	
	AC	5,667,847	9/16/97	Muller et al.	427	385.5	5/10/9	3
	AD	5,635,564	6/3/97	Wieditz et al.	525	194	6/24/9	4
	AE	5,726,258	2/11/97	Kranig et al.	427	386	6/23/9	5
\top	AF	5,601,878	3/10/98	Fischer et al.	526	64	7/1/96	
+	AG	4,130,577	12/19/78	Nagato et al.	260	453	10/25/	77
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\top	Al	3,290,350	12/6/66	Hoover, et al.	260	453	12/27/	62
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FORM PTO-1449 (MODIFIED) US DEPARTMENT OF O LIST OF ART CITED BY APPLICANT

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Atty. Docket No. IN-5530

In re Application of : LETTMANN

Serial No.: Herewith

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/NN/	AA	4,895,910	1/23/90	Isozaki et al.	525	326.5	2/29	
	AB	4,246,382	1/20/81	Honda et al.	526	79	11/6	/78
1	AC	5,965,213	10/12/99	Sacharski et al.	427	475	10/1	7/96
\top	AD	6,159,556	12/12/00	Möller et al.	427	475	11/2	8/96
/NN/	AE	5,576,386	11/19/96	Kempter et al.	526	88	1/28	/93
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/NN/	AL	WO96/12754	02.05.96	EP	C08G	63/70	X	
T	AM	0 522 419 A1 ~	30.06.92	EP	C08G	18/67		Х
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10/018336 Page 5 M POT/PTO 3 0 OCT 2001 Atty. Docket No. IN-5530 FORM PTO-1449 US DEPARTMENT OF O FRCF (MODIFIED) LIST OF ART CITED BY APPLICANT In re Application of : LETTMANN (Use sever of sheets if necessary) Serial No.: Herewith Filed: October 30, 2001 U.S. PATENT DOCUMENTS Examine initial DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE IF APPROPRIATE AA AB AC AD AE AF AG AH ΑI AJ AK FOREIGN PATENT DOCUMENTS DOCUMENT COUNTRY TRANSLATION YES | NO DATE CLASS SUBCLASS NUMBER AL X 0 521 928 B1 . 13.03.91 EP COSE 299/06 /NN/ AM EP C08J $\overline{\mathsf{x}}$ 0 522 420 A2 1 30.06.92 3/03 AN 2102170 94.05.07 CA C09D 175/14 x AO 175/14 $\overline{\mathbf{x}}$ 2102169 -94 05 07 CA C09D AP WO94/10211 11.05.94 EP C08F 8/30 . \overline{x} /NN/

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) AR AS AT EXAMINER /Nathan Nutter/ (12/15/2010) DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance

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"Applicant has modified this form by deleting the word "prior".

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FORM PTO-1449 (MODIFIED) US DEPARTMENT OF CHERCE PATENT AND TRADEMANN OFFICE

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LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

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FORM PTO-1449 (MODIFIED) US DEPARTMENT OF CHERCE PATENT AND TRADEMARK OFFICE

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104018336 Page 11 FORM PTO-1449 US DEPARTMENT OF O Atty. Docket No. IN-5550 (MODIFIED) PATENT AND TRADEMANN OFFICE LIST OF ART CITED BY APPLICANT In re Application of : LETTMANN Serial No.: Herewith Filed: October 30, 2001 U.S. PATENT DOCUMENTS Examiner initial DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE IF APPROPRIATE AA AB AC AD AF AG AH ΑI AJ AK FOREIGN PATENT DOCUMENTS

AM WO97/12945 10.04.97 EP C09D 5/38 X AM WO98/22968 13.10.94 EP C09D 133/06 X AN WO97/12945 10.04.97 EP C09D 5/04 X AP WO98/27742 19.10.95 EP C08F 2/02 X	Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION I NO
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